

OLIFF & BERRIDGE, PLC  
Telephone: (703) 836-6400  
Facsimile: (703) 836-2787



PATENT APPLICATION

Attorney Docket No.: 109431

CUSTOMER NUMBER 25944

AMENDMENT TRANSMITTAL

In re the Application of

Katsusuke SHIMAZAKI et al.

Group Art Unit: 2652

Application No.: 09/830,928

Examiner: T. CHEN

Filed: May 24, 2001

For: OPTICAL DISK, DISK SUBSTRATE, AND DRIVING APPARATUS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Entitlement to small entity status is hereby asserted.  
☐ Small entity status of this application has been established.

Any additional claim fees have been calculated as shown below:

	(Column 1)	(Column 2)	(Column 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'L FEE	OR	RATE	ADD'L FEE
TOTAL CLAIMS	*18 MINUS	**44	=0	x 25	\$		x 50	\$
INDEP CLAIMS	*6 MINUS	***5	=1	x 100	\$		x 200	\$ 200
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ 180	\$	OR	+ 360	\$
					\$			\$

\* If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

- ☒ Check No. 172833 in the amount of \$200.00 is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

James A. Oliff  
Registration No. 27,075

Daniel A. Tanner, III  
Registration No. 54,734



Corres. and Mail  
**BOX AF**

**PATENT APPLICATION**

**RESPONSE UNDER 37 CFR §1.116  
EXPEDITED PROCEDURE  
TECHNOLOGY CENTER ART UNIT 2652**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Katsusuke SHIMAZAKI et al.

Group Art Unit: 2652

Application No.: 09/830,928

Examiner: T. CHEN

Filed: May 24, 2001

Docket No.: 109431

For: OPTICAL DISK, DISK SUBSTRATE, AND DRIVING APPARATUS

**AMENDMENT AFTER FINAL REJECTION UNDER 37 CFR §1.116**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In reply to the August 11, 2005 Office Action, please consider the following:

**Amendments to the Claims** as reflected in the listing of claims; and

**Remarks.**

11/14/2005 JADD01 00000013 09830928

01 FC:1201

200.00 OP